

## **COPY**

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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known					
Application Number	10/669,645				
Filing Date	September 25, 2003				
First Named Inventor	Ashok Tehim				
Group Art Unit	1614				
Examiner Name	Unassigned	·			
Attorney Docket Number	MEMORY-33				

	<del></del>		U.S. P	ATENT DOCUMENTS	
Examiner Initials *	Cite No.	U.S. Patent Docume	ent Kind Code <sup>2</sup> (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document  MM-DD-YYYY
		4,789,673		Donatsch et al.	12/6/1988
		4,798,829		King et al.	1/17/1989
		4,845,092		Sanger et al.	7/4/1989
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		US 2004/0002513		Mazurov et al.	1/1/2004

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Attorney Docket Number

MEMORY-33

Substitute for form 1449A/PTO Complete If Known Application Number 10/669,645 INFORMATION DISCLOSURE Filing Date September 25, 2003 STATEMENT BY APPLICANT First Named Inventor Ashok Tehim Group Art Unit 1614 (use as many sheets as necessary) Examiner Name Unassigned Sheet

					N PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Foreign Patent Docun	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°
		JP	2002-30084			1/29/2002		
		GB	2 125 398			3/7/1984		
		EP	0.200 444			11/5/1986		
		EP	0 498 466			8/12/1992		
	<u> </u>	EP	1 235 826			9/4/2002		
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		wo	03/101987			11/12/2003		
		GB	2 145 416			3/27/1985		
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		EP	0:214 772			3/18/1987		
		EP	1 079 828			3/7/2001		
		wo	,84/00166			1/19/1984		
		WQ	85/01048			3/14/1985		

Examiner	a., a	
Signature	Date Consider	ed [
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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>9</sup> Applicant is to place a check mark here if English language Translation is

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known **Application Number** 10/669.645 INFORMATION DISCLOSURE Filing Date September 25, 2003 STATEMENT BY APPLICANT First Named Inventor Ashok Tehim Group Art Unit 1614 (use as many sheets as necessary) **Examiner Name** Unassigned Sheet of Attorney Docket Number MEMORY-33

	: 	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т,
		S.M. Evans et al., "Probing the 5-HT <sub>3</sub> Receptor Site Using Novel Indole-3-Glyoxylic Acid Derivatives", Med. Chem. Res. (1993), 3:386-406	
		D. Flammia, "Lobeline: Structure-Affinity Investigation of Nicotinic Acetylcholinergic Receptor Binding", J. Med. Chem. (1999), 42:3726-2731	
		R. Azuma et al. "Metabolism and Disposition of GTS-21, A Novel Drug for Alzheimer's Disease", Xenobiotica (1999), Vol. 29, No. 7, pgs. 747-762	
		K. E. Stevens. Et al., "Selective α <sub>7</sub> -nicotinic agonists normalize inhibition of auditory response in DBA mice", Psychopharmacology (1998), 136:320-327	
		R. Azuma et al., "The effect of repeat administration of GTS-21 on mixed-function oxidase activities in rat", Elsevier Science Ireland Ltd., Toxicology Letters 110 (1999) pgs. 137-144	
		M. Decker, et al., "Neuronal Nicotinic Acetylcholine Receptors: Novel Targets for CNS Therapeutics", pgs. 1-14	
		M. W. Holladay et al., "Neuronal Nicotinic Acetylcholine Receptors as Targets for Drug Discovery", Journal of Medicinal Chemistry, Vol. 40, No. 26, (1997), pgs. 4169-4194.	

Examiner Signature	Date Considered

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Petent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>8</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

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		Application Number	10/669,645	
	INFORMATION DISCLOSURE	Filing Date	September 25, 2003	
	STATEMENT BY APPLICANT	First Named Inventor	Ashok Tehim	
		Group Art Unit	1614 / 125	
	(use as many sheets as necessary)	Examiner Name	Unassigned Can reg Tou	
_	Sheet 1 1 of 2- 1	Attorney Docket Number	MEMORY-33	

	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, Cite No.¹ journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	۲ ۽
16	S.M. Evans et al., "Probing the 5-HT <sub>3</sub> Receptor Site Using Novel Indole-3-Glyoxylic Acid Derivatives", Med. Chem. Res. (1993), 3:386-406	
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10	R. Azuma et al. "Metabolism and Disposition of GTS-21, A Novel Drug for Alzheimer's Disease", Xenobiotica (1999), Vol. 29, No. 7, pgs. 747-762	
K	K. E. Stevens. Et al., "Selective o <sub>7</sub> -nicotinic agonists normalize inhibition of auditory response in DBA mice", Psychopharmacology (1998), 136:320-327	
le	R. Azuma et al., "The effect of repeat administration of GTS-21 on mixed-function oxidase activities in rat", Elsevier Science Ireland Ltd., Toxicology Letters 110 (1999) pgs. 137-144	
k	M. Decker, et al., "Neuronal Nicotinic Acetylcholine Receptors: Novel Targets for CNS Therapeutics", pgs. 1-14 (2 a 6 a)	
pe	M. W. Holladay et al., "Neuronal Nicotinic Acetylcholine Receptors as Targets for Drug Discovery", Journal of Medicinal Chemistry, Vol. 40, No. 26, (1997), pgs. 4169-4194.	

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/669.645 **INFORMATION DISCLOSURE** Filing Date September 25, 2003 STATEMENT BY APPLICANT First Named Inventor Ashok Tehim **Group Art Unit** 1<del>014</del> 1629 Unassigned Cauranter (use as many sheets as necessary) **Examiner Name** Sheet of **MEMORY-33 Attorney Docket Number** 

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.¹	Office <sup>3</sup>	Foreign Patent Docum . Number <sup>4</sup>	nent Kind Code <sup>s</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>		
16		JP	2002-30084			1/29/2002				
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<i> </i>		WO	02/100833			12/19/2002				
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